

Guidelines for Applicants 28th Call for Proposals

Deadline: 29 September 2021

www.ira-sme.net

Supported by:



Federal Ministry
for Economic Affairs
and Energy

on the basis of a decision
by the German Bundestag

Guidelines for Applicants – Call for Proposals

| | | |
|----------|---|----------|
| 1 | Background and Introduction | 2 |
| 2 | Basic Requirements | 2 |
| 2.1 | Project Requirements | 2 |
| 2.2 | General Project Conditions | 3 |
| 2.3 | Project Duration | 4 |
| 3 | Timeline 28th IraSME Call | 4 |
| 4 | Application Procedure | 4 |
| 4.1 | Project Proposal | 4 |
| 4.1.1 | Proposal Submission – Transnational Level | 4 |
| 4.1.2 | Proposal Submission – National/Regional Level | 5 |
| 4.2 | Eligibility Criteria | 5 |
| 4.3 | Evaluation | 5 |
| 4.4 | Agreements and Contracts | 5 |
| 4.4.1 | Consortium Agreement | 5 |
| 4.4.2 | Funding Agreement | 6 |
| 5 | Funding Procedures | 6 |
| 6 | Funding Agencies | 6 |
| 7 | Definitions | 6 |

1 Background and Introduction

The purpose of this document is to provide interested parties with information on the 28th IraSME call for R&D proposals. It is targeted at small and medium-sized enterprises (SMEs) and research and technology organisations (RTOs) which jointly conduct international research, technology, development and innovation (RTDI) projects.

The main objective of IraSME is to strengthen the competitive capacity of SMEs by:

- increasing collaboration between SMEs from different countries,
- increasing cooperation between SMEs and RTOs,
- increasing cooperative and competitive capabilities of SMEs to work in international R&D networks,
- supporting them in developing new products, processes or technical services that exceed the existing state of the art and have good market opportunities by lowering the economical risks.

IraSME is a follow-up initiative of a former ERA-NET by ministries and funding agencies and one of the few to continue its work even after EU-funding had expired. The network coordination is financed by the German Federal Ministry for Economic Affairs and Energy (BMWi) and carried out by AiF Projekt GmbH, a project management agency of BMWi which is based in Berlin (Germany).

The following regions/countries are participating in the 28th IraSME call: Alberta (Canada), Brazil, Flanders (Belgium), Germany, Luxembourg, Russia, Turkey, and Wallonia (Belgium). For more detailed information on IraSME member countries and regions, please refer to the following website: www.ira-sme.net/countries-regions.

Applicants from participating countries/regions can be funded according to the rules of the respective regional/national funding programmes. Applicants from other countries/regions are welcome to participate as additional partners of a project consortium, but will have to secure the financial means for their part on their own and cannot be funded through any of the IraSME member countries'/regions' support mechanisms.

2 Basic Requirements

2.1 Project Requirements

In addition to the basic IraSME requirements, national or regional rules may apply.

These are described in the programme portraits on the IraSME website (www.ira-sme.net). Please check the programme portraits relevant for your project and contact your local funding agency for further information and consultation.

Projects must be innovative and must aim at either developing new or significantly improving existing products, processes or technical services that in their parameters exceed the existing state of the art. All projects must have significant technical risks. The outcome of the project must be clearly defined and demonstrate real market potential. At least one of these three statements must apply: Without public funding, eligible projects can a) not be carried out at all, b) only be carried out in a reduced form, or c) be carried out only with significant delay.

2.2 General Project Conditions

- At least two SMEs from two different countries/regions (at least one SME in each country/region).
- Participation of RTOs is possible but not mandatory.
- Balanced participation of all partners in the project.
- All participating SMEs need to share in the economic results of the project.
- Every participant has a defined role in the project (subproject) that a) contains research and development tasks with significant technical risks, b) is distinguishable from the other subprojects (no redundancy), and c) is necessary for the project success.
- At least 50% of the work packages in the whole project have to be carried out by companies.
- Only use person-months (PM) in order to specify work packages.
- Start date of the project should be approximately 6 months after call deadline.
- Regional / national rules will prevail; see overview of funding schemes for details.

! Attention: To exemplify, some regional/national rules are listed here:

- Alberta can currently only support cooperation with industry partners from EU states, which means that projects between Alberta and Brazil, Russia and/or Turkey are not fundable unless there is at least one additional EU industry partner involved as well.
- Projects that involve partners from Alberta have a maximum project duration of 24 months.
- In Russia, only small companies with up to 100 employees (no RTOs) are eligible for funding.
- RTOs can participate if at least one SME from their country / region is part of the consortium and may be funded directly or treated as subcontractors depending on the applicable national / regional rules.
- Participation of RTOs is preferred for applications from Turkey.
- Other partners (large companies, other SMEs, RTOs, etc.) from other countries which do not take part in this call or partners from the participating countries/regions that cannot be funded in the respective national/regional programmes may be part of the project consortium if their

contribution is essential to reach the project goals. However, funding will usually not be available for them.

- In German ZIM projects a limited amount of work packages may be outsourced to subcontractors.
- German ZIM applicants must provide a German convenience translation for cooperation agreements written in English or any other foreign language.

2.3 Project Duration

Projects may take between 12 and 36 months to complete according to the applicable national rules (please see the overview of funding schemes published on the [IraSME website](#)). A project start date not earlier than six months after the call deadline is highly recommended in order to avoid rescheduling during the evaluation process.

3 Timeline 28th IraSME Call

- 10 June 2021: Joint call announcement – opening of the call.
Documents available on the IraSME website: www.ira-sme.net/current-call
- 18 August 2021: Deadline for qualified nonbinding feedback on your project idea (optional and depending on the national/regional agencies).
- 29 September 2021: Deadline for proposals
- 10 November 2021: Communication of negative eligibility check results by e-mail to project coordinator. (Project application does not fulfil the minimum requirements and will not be evaluated)
- 26 January 2022: Communication of funding decisions (by e-mail to project coordinator).
Signing of consortium agreement and start/continue funding negotiations, according to the respective regional/national rules.

4 Application Procedure

4.1 Project Proposal

4.1.1 Proposal Submission – Transnational Level

The project application (Proposal Application Form) must be signed by each partner and submitted via e-mail to info@ira-sme.net by the project coordinator before the deadline of the 28th Call (29 September 2021).

The template “Proposal Application Form” is mandatory and available for download on the [IraSME website](#). All sections have to be filled in including signature(s) on all LOIs. The application

language for this form is English.

In addition to the proposal application form the coordinator must submit a draft consortium agreement (unsigned!) in PDF format electronically.

4.1.2 Proposal Submission – National/Regional Level

At the same time, national/regional applications have to be submitted to the national/regional funding agencies. An overview of the funding schemes and contact details of funding agencies is available on the IraSME website: www.ira-sme.net/countries-regions.

4.2 Eligibility Criteria

- Proposal must be signed by all partners and submitted electronically.
- Draft of consortium agreement (unsigned).
- National/regional requirements for participation fulfilled (please see overview of funding schemes, programme portraits, and please contact your local agency).
- Project duration: 12 - 36 months.

4.3 Evaluation

Every proposal will be evaluated by the respective funding agencies involved following the respective national/regional evaluation criteria. A project will be funded if all funding agencies involved reach a positive evaluation result. The results of the evaluation will be communicated to the project coordinator.

4.4 Agreements and Contracts

4.4.1 Consortium Agreement

A consortium agreement between all project partners is mandatory. It regulates:

- identity of the partners,
- contract period,
- IPR ownership, IPR use and exploitation of the project results,
- subcontracting to third parties,
- contributions of each partner to the project,
- confidentiality notes and freedom of publications rights,
- the duty for every partner to contribute to a final report on the overall project (to be submitted to their respective funding agencies according to the applicable rules).

The consortium agreement is to be signed after the funding decision has been communicated and before applicants sign their contracts with the respective funding agencies. An example of a

consortium agreement is not provided. Each consortium drafts their own cooperation agreement covering all of the above mentioned issues. Please contact your respective national/regional agency for advice.

4.4.2 Funding Agreement

Matters related to funding will be handled according to the respective national/regional agencies' rules. The complete set of signatures on the consortium agreement is a prerequisite for funding.

5 Funding Procedures

Funding will be provided to each partner by their corresponding national/regional funding agency according to its respective rules (please see programme portraits for an overview).

Projects will be monitored according to the rules of the respective country/region. Hence, technical as well as financial reporting will be required according to national/regional rules as well.

Arrangements will be made during the funding negotiation process.

6 Funding Agencies

For additional information and support it is strongly recommended to contact the respective regional/national agencies before submitting a project proposal. Please refer to the list of regional/national contacts on www.ira-sme.net.

7 Definitions

- **Consortium Agreement:** A contract between all project partners regulating the terms of their cooperation, including the handling of IPR and the commercialization plan.
- **Funding agencies:** Agencies, ministries or other administrative bodies which manage the relevant funding programme(s) in the respective country/region.
- **Funding agreement:** Agreement between the funding agency and the funded project partner(s) (applicants) in the respective country/region.
- **IPR:** Intellectual property rights.
- **Project coordinator:** One of the project partners. The coordinator submits the proposal, leads the project and reports on progress.
- **Project partners:** All entities involved in a project consortium.
- **Proposal:** A project description plus annexes (letters of intent of all project partners; draft consortium agreement) submitted by the project coordinator.
- **RTDI:** Research, Technology, Development and Innovation.

- **RTO:** Research and Technology Organisation. An organisation which conducts research or development as a main activity (e.g. university, higher education institute, research institute, technology centre, etc.)
- **SME:** Small and medium-sized enterprises. See the [definition](#) of the European Commission and use the regulations of your regional/national funding agency.
- **Transnational project:** A project that includes partners from at least two different countries.